

# Divisor topology and GV invariants of CICYs

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# Based on...

- ① Divisor topologies of CICY 3-folds and their applications to phenomenology
  - F.C, A. Mininno, P. Shukla. 2022
- ② Gopakumar-Vafa hierarchies in winding inflation and uplifts
  - F.C, A. Mininno, N. Righi, A. Westphal. 2021

# Motivation

- One of the main goals of String Phenomenology is to make concrete string models which:
  - 1 Reproduce the established low-energy physics (SM,  $\Lambda$ CDM...)
  - 2 Extend the established low-energy physics (DM, SUSY, Inflation...)
- Type II string theory on  $\mathbb{R}^{1,3} \times X_6$ , with  $X_6$  compact CY 3-fold.
- 4d EFT is  $\mathcal{N} = 2$  SUGRA. More realistic  $\mathcal{N} = 1$  EFTs arise from modding out by an orientifold action  $\Omega\mathcal{R}(-1)^{F_L}$
- Focus on IIB, with orientifold action allowing for  $O3/O7$  planes. Typical setup for the flux landscape (GKP '01. KKLT '03)
- Properties of the CY orientifold fix properties of the low energy 4d EFT.

# Complex structure moduli stabilization

Prepotential has a polynomial part, and instanton corrections

$$\mathcal{F}(U) = \mathcal{F}_{\text{poly}}(U^i) + \mathcal{F}_{\text{inst}}(U^i) \quad (1)$$

$$\mathcal{F}_{\text{inst}} = \frac{1}{(2\pi i)^3} \sum_{d_i} A_{d_i} e^{2\pi i d_i U^i} \quad (2)$$

- GVs  $n_\beta^g$  (naively) count the number of holomorphic maps from worldsheet to a curve in the class  $\beta = q^i \beta_i \in H^2(\tilde{X}, \mathbb{Z})$ .

$$\mathcal{F}_{\text{inst}}(U^i) = \frac{1}{(2\pi i)^3} \sum_{\beta \in H_2^-(\tilde{X}_3, \mathbb{Z}) \setminus \{0\}} n_\beta \text{Li}_3(q^\beta) \quad (3)$$
$$\text{Li}_3(x) = \sum_{m=1}^{\infty} \frac{x^m}{m^3}, \quad q^\beta = e^{2\pi i d_i U^i}$$

# Complete intersection CYs

- Defined as the zero locus  $\tilde{X}$  of a set of homogeneous polynomials  $p_i[x]$ , ( $i = 1, \dots, K$ ) in an ambient space  $\mathcal{A} = \mathbb{P}^{n_1} \times \dots \mathbb{P}^{n_s}$
- Multidegrees of  $p_i[x]$  encoded in the configuration matrix.

$x^i$	$\mathbb{P}^2$	0	2	0	1
$y^i$	$\mathbb{P}^2$	2	1	0	0
$w^i$	$\mathbb{P}^3$	1	1	1	1

$$\begin{aligned}p_1[x] &= a_{ijk} y^i y^j w^k, \\p_2[x] &= b_{ijkl} x^i x^j y^k w^l, \\p_3[x] &= c_i w^i, \\p_4[x] &= d_{ij} x^i w^j.\end{aligned}\tag{4}$$

$$\sum_{i=1}^s n_i - K = 3$$

- List of at most 7890 (possibly) distinct CY constructed in this way.  
(Candelas, Dale, Lutken, Schimmrigk '87) (Green, Hubsch, Lutken '89)  
(Anderson, Gao, Gray, Lee '17)

# Computing the genus 0 GV by Mirror symmetry

(Hosono, Klemm, Theisen, Yau, '94)

- $\Pi(z) = \left( w_0(z), \frac{\partial}{\partial \rho_i} w_0(z, \rho) \big|_{\rho=0}, \dots \right)^t$
- $w_0(z) = \sum_{n_1 \geq 0} \dots \sum_{n_{h^2,1} \geq 0} c(n) \prod_{i=1}^{h^2,1} z_i^{n_i}$ .  
Generic solution of the PF equation for the first entry of the period vector, in terms of data in the configuration matrix.
- $w_i(z) = \sum_{n_1 \geq 1} \dots \sum_{n_{h^2,1} \geq 0} \frac{1}{2\pi i} \frac{\partial}{\partial \rho^i} c(n + \rho) \big|_{\rho=0} \prod_{i=1}^{h^2,1} z_i^{n_i} + w_0(z) \frac{\ln z_i}{2\pi i}$
- $t^i = \frac{w_i(z)}{w_0(z)}$  mirror map. Relation between Kahler moduli at large radius in the A-model side, with complex structure moduli at LCS in the B-model side.
- Now, invert the mirror map in order to get  $z(t)$ . Hardest step.

# Computing the genus 0 GV by Mirror symmetry

(Hosono, Klemm, Theisen, Yau, 94)

- Having  $z(t)$ , compute the quantum corrected triple intersection number

$$k_{ijk} = \frac{\partial}{\partial t_i} \frac{\partial}{\partial t_j} \frac{\frac{1}{2} k_{kab}^0 \frac{\partial}{\partial \rho_a} \frac{\partial}{\partial \rho_a} w_0(z, \rho) |_{\rho=0}}{w_0(z)}(t)$$

- Introduce  $q_i = \exp(2\pi i t_i)$ , and the general expression for the quantum corrected triple intersection number

$$k_{ijk} = k_{ijk}^0 + \sum_{n_1 \geq 1} \dots \sum_{n_{h+2,1} \geq 0} n_{d_1, \dots, d_{h+1,1}} d_i d_j d_k \frac{\prod_{l=1}^{\bar{h}^{1,1}} q_l^{d_l}}{1 - \prod_{l=1}^{\bar{h}^{1,1}} q_l^{d_l}}$$

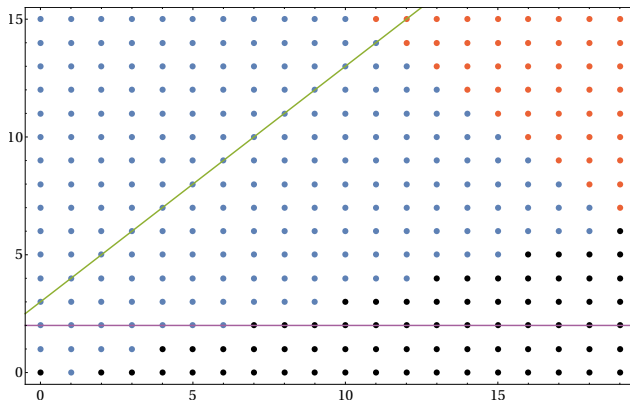
- Match and extract the GV.

# Instanton program, and the scan

- The above algorithm has been coded in a program called Instanton. (Klemm, Kreuzer)
- Made modification of their code, parallelized it, and let it run.
- Computing time  $\approx$  6 months, on two different clusters (DESY and Madrid IFT)
- List all the genus 0 GV, up to total degree 10, for all favourable CICY up to  $h^{1,1} = 9$ .
- We find directions in the Picard lattice in which the GV invariants grow at hierarchical rates, as well as "vanishing directions" (Demirtas, Kim, McAllister, Moritz '20) and "periodic directions".
- List at [www.desy.de/~westphal/GV\\_CICY\\_webpage/GVInvariants.html](http://www.desy.de/~westphal/GV_CICY_webpage/GVInvariants.html)



# Occupation sites for CICY 7858 ( $h^{1,1} = 2$ )



**Figure:** Blue=non-zero GV. Black = zero GV. Orange = not computed, believed to be non-zero GV. Green = non-vanishing direction. Purple = vanishing direction.

# The need for rigid divisors

- Suppose we have fully stabilized the c.s. moduli. At lower energy if there are no non-perturbative effects, Kahler moduli have a flat potential (no scale).  $W = W_0 := \langle W_{GVW} \rangle$
- Non-trivial potential for Kahler moduli can be generated by the presence of Euclidean E3s or D7-branes.

$$W(T) = W_0 + \sum_{\vec{n}} A_{\vec{n}} e^{-2\pi n_a T^a} \quad (5)$$

- However, not any holomorphic 4-cycle will do the job.
- Relevant to ask: does your compactification space admit such nice 4-cycles?

# Rigidity, ampleness

## Rigidity

- In order to generate non-perturbative contribution to the superpotential, E3 or D7 need to wrap a rigid divisor.
- Rigid = no normal bundle deformations.  $\mathcal{O}(D)$  has a unique section.  $h^{2,0}(D) = 0$ .
- $\chi_h(D) = 1$  is a necessary condition for nontrivial  $W_{np}$ .

## Ampleness

- If a divisor is ample (and rigid) a single E3 or D7 wrapped on them can stabilize all saxions of the  $T^a$  and a combination of the  $C_4$  axions. (Bobkov et al. '21)

$$W_{np} = A \exp \left[ -i \sum_{\alpha=1}^{h_+^{1,1}} a_{\alpha} T_{\alpha} \right] \quad (6)$$

# More on ampleness

- (Nakai-Moishezon) A divisor  $D$  is ample if for every closed subvariety  $Y \subset X$

$$D^{\dim(Y)} \cdot Y > 0 \quad (7)$$

- Another way to define it is a codimension one surface with ample canonical bundle. (i.e. opposite of del Pezzo surfaces). Also called *surface of general type*.

$$c_1^2 = \int_D c_1(D) \wedge c_1(D) > 0, \quad c_2 = \int_D c_2(D) > 0. \quad (8)$$

- Some properties

$$h^{p,q}(X) = h^{p,q}(D), \quad \forall p + q < 2 \quad (9)$$

$$\pi_i(X) = \pi_i(D), \quad \forall i < 2 \quad (10)$$

# Divisor topologies

- We want to discuss what is the topology of divisors  $D$  of CICYs.
- Solve this problem for coordinate divisors (i.e.  $x_i = 0$ ).
- $h^{ij}(D)$  can be computed via Koszul spectral sequence, and knowledge of  $H^i(X, D)$ .
- Can use Cohomalg to do this computation. (Blumenhagen et al. '10, '11) However, starting from  $h^{1,1}(X) = 5$ , it gets very slow.

**Idea!**

$$\chi(D) = 2h^{0,0} - 4h^{1,0} + 2h^{2,0} + h^{1,1} = \int_X \left( \hat{D} \wedge \hat{D} \wedge \hat{D} + c_2(X) \wedge \hat{D} \right) \quad (11)$$

$$\chi_h(D) = h^{0,0} - h^{1,0} + h^{2,0} = \frac{1}{12} \int_X \left( 2 \hat{D} \wedge \hat{D} \wedge \hat{D} + c_2(X) \wedge \hat{D} \right) \quad (12)$$

# Conjecture connected, simply connected.

- Conjecture that, for all favorable CICYs,  $h^{0,0}(D) = 1$  and  $h^{1,0}(D) = 0$ . Connected and simply connected.
- Conjecture verified by explicit computation up to  $h^{1,1}(X) = 6$ . Have counterexamples for non-favourable CICYs.
- Now trivially solve for  $h^{1,1}(D)$  and  $h^{2,0}(D)$ .

$$D \equiv \begin{pmatrix} 1 & & & \\ & 0 & & 0 \\ (\chi_h - 1) & & (\chi - 2\chi_h) & \\ & 0 & & 0 \\ & & & 1 \end{pmatrix} (\chi_h - 1) \quad (13)$$

# Results

Sr. #	Divisor topology $\{h^{0,0}, h^{1,0}, h^{2,0}, h^{11}\}$	frequency (57885 divisors)	frequency (7820 spaces)	$h^{1,1}$ (pCICY)	$\int_{CY} \hat{D}^3$
T1	$K3 \equiv \{1, 0, 1, 20\}$	30901	7736	2-15	0
T2	$\{1, 0, 2, 30\}$	22150	7436	2-15	0
T3	$\{1, 0, 3, 38\}$	3372	2955	2-13	2
T4	$\{1, 0, 3, 36\}$	91	91	3-13	4
T5	$\{1, 0, 4, 46\}$	714	690	2-11	4
T6	$\{1, 0, 4, 45\}$	283	277	1-11	5
T7	$\{1, 0, 4, 44\}$	91	91	2-11	6
T8	$\{1, 0, 5, 52\}$	198	198	1-9	8
T9	$\{1, 0, 5, 51\}$	28	28	1-9	9
T10	$\{1, 0, 6, 58\}$	42	42	1-7	12
T11	$\{1, 0, 7, 64\}$	15	15	1-5	16

**Table 2:** Divisor topologies for favorable pCICYs and their frequencies of appearance.

# Conclusions and future directions

## Summarizing the results:

- Compute explicitly genus zero GV up to degree 10 for all favourable CICYs up to  $h^{1,1} = 9$ .
- Classify topologies of coordinate divisors in CICYs. None of them is rigid.

## For the future:

- Does something funny happen for non-favourables? Schoen etc.
- Interesting to perform similar tasks in KS.
- Topologies of non-coordinate divisors.
- Global models on CICYs in generic toric ambient spaces and on gCICYs are essentially unexplored.